

State Auction Percentages and Authorizing Statutes/Regulations

State	Tons yr.	Auction Percentage	Special Provisions	Authority
Connecticut:	2009-2014: 10,695,036 2015: 10,427,660 2016: 10,160,284 2017: 9,892,908 2018: 9,625,532	Minimum of 77%	1.5% voluntary renewable energy purchases 3.5% Customer-side Distributed Resources (CDR) set-aside 5% Combined Heat and Power (CHP) set-aside Up to 13% CHP long-term PPA set-aside Rate-payer relief: if auction clearing price exceeds threshold price (\$5xCPI), part of auction proceeds is returned to ratepayers.	State Statute: http://www.cga.ct.gov/2007/ACT/PA/2007PA-00242-R00HB-07432-PA.htm State Regulation: http://www.ct.gov/dep/lib/dep/air/regulations/mainregs/22a-174-31.pdf http://www.ct.gov/dep/lib/dep/air/regulations/mainregs/22a-174-31a.pdf
Delaware:	2009-2014: 7,559,787 2015: 7,370,792 2016: 7,181,798 2017:	60% in 2009, increasing 8%/year i.e. 100% by 2014	40% allocated to generating units; phased out by 2014 1,207,533 tons (16%) Limited industrial exemption set-aside allocation	State Regulation draft: http://www.awm.delaware.gov/Info/Regs/Documents/Model%20Rule%20rev4%20-%20Public%20Workshop.pdf http://delcode.delaware.gov/title7/c060/s02a/index.shtml#TopOfPage

	6,992,803			
	2018: 6,803,808			
Maine:	2009-2014: 5,948,902 2015: 5,800,180 2016: 5,651,458 2017: 5,502,736 2018: 5,354,014	100%, less any allowances used for Integrated manufacturing facility or Voluntary renewable energy set-aside	100% Consumer benefit account -portion goes to Integrated manufacturing facility pre-retirement account, based on project approval -portion (2% or less) to Voluntary renewable energy set-aside account. Beginning 2010, these will be retired based on amount of avoided CO2 emissions from the previous year DEP Commissioner can suspend compliance in the event of excessive economic impacts	State Statute: http://janus.state.me.us/legis/ros/lom/LOM123rd/PUBLIC317.asp Draft Regulations: http://www.maine.gov/dep/air/regulations/proposed.htm State Regulation: http://www.maine.gov/dep/air/regulations/docs/chap156finalrule.pdf
Maryland:	2009-2014: 37,504,000 2015: 36,566,400 2016: 35,628,800 2017: 34,691,200 2018: 33,753,600	85%	9% Limited Industrial Exemption set-aside 4.5% Long Term Contract set-aside 1% Voluntary Renewables set-aside If State 1 trigger price is reached during first control period, allowances will be withheld from future auctions and up to 50% of a year's allowances will be reserved for purchase by the Maryland generators at \$7/allowance	State Regulation: http://www.dsd.state.md.us/comar/subtitle_chapters/26_Chapters.htm#Subtitle09
Massachusetts:	2009-2014:	99%	<1% set-a-sides for renewable energy	Proposed Rules: MA DEP

	<p>26,660,204</p> <p>2015: 25,993,699</p> <p>2016: 25,327,194</p> <p>2017: 24,660,689</p> <p>2018: 23,994,184</p>		<p>purchases and MA Reg 729 compliance</p>	<p>http://www.mass.gov/dep/service/regulations/proposed/co2regs.pdf</p> <p>DOER regulations: http://www.mass.gov/Eoeea/docs/doer/rggi-auction-reg-final.pdf</p> <p>State regulations: http://www.mass.gov/dep/service/regulations/rggiregf.pdf</p>
New Hampshire:	<p>8,620,460</p> <p>Declining by 215,512 tons/year from 2015-8</p>	Up to 100%	<p>Provision for temporary grants to PSNH under existing Clean Power Act of 4,072,000 allowances</p> <p>Up to 1% set-aside for Voluntary Purchase of RECs</p>	<p>Proposed Statute http://www.gencourt.state.nh.us/legislation/2008/HB1434.html</p>
New Jersey:	<p>2009-2014: 22,892,730</p> <p>2015: 22,320,412</p> <p>2016: 21,748,094</p> <p>2017: 21,175,775</p> <p>2018: 20,603,457</p>	up to 99%	<ul style="list-style-type: none"> • 1% set-aside for voluntary renewable energy • Includes direct sale of allowances at \$2/ton for facilities with current long term contracts executed before January, 2002 • Statute includes exemption for facilities and generating units that sell less than 10 percent of their gross electrical generation on an annual basis. • Direct allocation to cogeneration units on a scale relating to thermal efficiency and type of unit 	<p>State Statute: http://www.njleg.state.nj.us/2006/Bills/A5000/4559_U2.PDF</p> <p>Proposed Regulation http://www.state.nj.us/dep/rules/proposals/070708a.pdf</p>

New York:	64,310,805	100%	Includes a voluntary renewable energy market and long term contract set-aside allocation. 700,000 and 1,500,000 tons/year	Proposed DEC Regulation: http://www.dec.ny.gov/regulations/43598.html
Rhode Island:	2,659,239	100%	-minimum amount set aside for voluntary renewable energy provisions	State Statute: http://www.rilin.state.ri.us/PublicLaws/law07/law07206.htm
Vermont:	2009-2014: 1,225,830 2015: 1,164,538.5 2016: 1,164,538.5 2017: 1,133,892.75 2018: 1,103,247	100%	100% budget goes to strategic energy purpose account; budget administered by a trustee. No specifics.	State Statute: http://www.leg.state.vt.us/statutes/fullsection.cfm?Title=30&Chapter=005&Section=00255 State Regulations: http://www.anr.state.vt.us/air/docs/RGGI%20Adopted%20Rule%20Text5_30_08.pdf

